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JC13 Rec'd PCT/PTO 27 FEB 2002  
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PATENT

(Practitioner's Docket No. IN-5561)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Bernhard LETTMANN et al.

Serial No.: This application is a National  
Phase of Patent Application  
PCT/EP00/08211 filed 23. August 2000.

Group Art Unit: Not Assigned

Filed: Wednesday, February 27, 2002

Examiner: Not Assigned

For: MIXED SYSTEM FOR PRODUCING WATER-DILUTABLE COATING AGENTS

Patent\*: Issue Date  
Reexamination No.: Issue Date  
Reissue: Issue Date

\*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents  
Washington, D.C. 20.231

**STATEMENT UNDER 37 C.F.R. § 3.73(b)-  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
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**37 C.F.R. § 1.8(a)**

**37 C.F.R. § 1.10\***

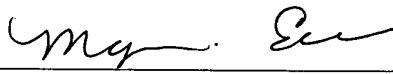
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Signature

Marjorie Ellis

(type or print name of person certifying)

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]-page1)



1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

#### IDENTIFICATION OF ASSIGNEE

2. BASF COATINGS AG  
Name of assignee  
CORPORATION  
Type of assignee, e.g., corporation, partnership, university, government agency, etc.

#### PERSON(s) AUTHORIZED TO SIGN

3. Michael F. Morgan, 42,906  
(type name of person authorized to sign on behalf of assignee)  
Attorney  
Title of person authorized to sign  
3a. Mary E. Golota, 36,814  
(type name of person authorized to sign on behalf of assignee)  
Senior Attorney  
Title of person authorized to sign

(complete the following, if applicable)

☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

#### BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

- A.
- ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_.
  - ☒ An assignment (document) separately being submitted for recordal herewith.

#### AND/OR

- B.
- ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: \_\_\_\_\_  
Name of inventor(s)  
To: \_\_\_\_\_

Recorded in PTO:



10/069814  
JC13 Rec'd PCT/PTO 27 FEB 2002

Reel \_\_\_\_\_ Frame \_\_\_\_\_

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]--page 2)

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

**COPIES OF DOCUMENTS IN CHAIN OF TITLE**

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1.

☐ 2

☐ 3.

Reg. No.: 42,906

Michael F. Morgan  
SIGNATURE OF ATTORNEY

Michael F. Morgan  
Type or print name of attorney

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